party #4

Sheet <u>1</u> of <u>5</u>

Form PTO-1449 (Reproduced)

## INFORMATION DISCLOSURE CITATION IN AN APPLICATION

Docket Number (Optional)
CL-1035

Application Number
UNKNOWN

Applicant CL-1033

ROBERT D. FALLON ET AL

IN AN APPLICATION			RO	ROBERT D. FALLON ET AL.				
	(Use several sheets if	necessary)		Filing Date JULY 21, 1997				
		U. S. F	PATENT DOCUMENTS			KNOWN		
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE		
M	.US 4,863,619	9/5/89	Border Sr. et al.	A510	517			
Mh	JJ8 4,275,158	4/23/81	Taoka etal.	435	134			
Mu	- <del>U</del> S 4,220,720	4912180	Taoka et ali	435	142			
M	JUS 5,254,466	10/19/93	Picataggio del.	435	142			
Mu	Æ\$ 3,796,630	3/12/74	Pica taggio et al. Wegner Lodoen	435	143			
the	US 4,767,828	8/3/88	Lodoen	525	440			
			·					
		-						
					,,			
		FOREIG	N PATENT DOCUMENTS					
	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION YES NO		
M	JP 08113771	5/96	JAPAN		~			
M	JP 57129694	5/96	JAPAN	_				
Mar	45024392 JP <del>70024392</del>		JAPAN		_			
M	5 00 6150 JP <del>76006750</del>	3/76	JAPAN	_				
M	EP 229252	7/87	EUROPE					
			(Including Author, Title, Date, Perti	nent Pages, Etc	.)			
M	DALE ET AL., J. S	SCI. FOOD AGR	., 6:162, (1955)					
M	Klug et al., Adv. ir	Microbial Physi	ology, 5:1-43, (1971)					
Mu	Zimmer et al., DN.	A & Cell Biology	, 14:619-628, (1995)					
KAMINER	1/1/2	7	DATE CONSIDERED	<u> </u>	<del></del>			
	11/1//	4	1 7/12/40	7				

Form PTO-1449

(Reproduced)

Docket Number (Optional)
CL-1035

Application Number

INFORMATION DISCLOSURE CITATION IN AN APPLICATION			ON	Applicant  ROBERT D. FALLON ET AL.				
(Use several sheets if necessary)				Filing Date JULY 21, 1997 Group Art Unit				
		U. S. I	PATENT DO	CUMENTS				
EXAMINER INITIAL	DOCUMENT NUMBER DATE			NAME	CLASS	SUBCLASS		DATE OPRIATE
				,	,			
						•		
I		FOREIG	N PATENT	DOCUMENTS	<u> </u>	1	l	
	DOCUMENT NUMBER	DATE		COUNTRY	CLASS	SUB- CLASS	TRANS YES	LATION NO
MW	DE 3929337	3/91		Germany			X	
M	DE 4019166	12/91		Germany			X	
the	EP 296506	4/93		Europe			X	
M	EP 316702	5/84		Europe		_	1	
,			<u> </u>					
r				thor, Title, Date, Pe	ertinent Pages, Et	c.)	····	
MW	Picataggio et al., B	io/Technology, I	10:894-898, (1	992)				
Mw Mw	Bos et al., Antonies	van Leeuwenhoel	k, 39:99-107,	(1973)				
Mv	Kargel et al., Yeas	t, 12:333-348, (1	996)					
EXAMINER	det Mr		D	T 123 199				
	V			- (0) ( )				

Form PTO-1449 (Reproduced)			Docket Number (Optio		Sheet 3 of Application Number			
IN	FORMATION DISCLO IN AN APPLIC		ROBERT D. FALLON ET AL.					
	(Use several sheets if n	ecessary)	Filing Date  JULY 21	1, 1997	Group Art Unit			
<del>.</del> .		U. S. PATENT	DOCUMENTS					
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE		
					·			
		FOREIGN PATE	NT DOCUMENTS	<u> </u>				
	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION YES NO		
Spe	JP 52018885	2/77	 Japan	<u> </u>				
M	DE 19507546	9/96	Germany	-				
w	WO 9114781	10/91	PCT					
, ,								
		OCUMENTS (Includin		rtinent Pages, E	tc.)			
(11)	Ohkuma et al., DNA	A & Cell Biology, 14:163-	-173					
M	'	ture Collection, 1995 Yea	st Reference Guide, 19	9th edition	<u></u>			

Meyer et al., Arch. Microbiol., 104:225-231, (1975)

DATE CONSIDERED 7/23/99



		- 1
		•

INFORMATION DISCLOSURE CITATION

Form PTO-1449

(Reproduced)

Docket Number (Optional) CL-1035-

Applicant

Application Number

		IN AN APPLICA	ATION			ODERI D. FA		. L.	
	(Use several sheets if necessary)				Filing Date Group Art Unit JULY 21, 1997				
	-		U. S. P	ATENT DO	CUMENTS				
EXAMINER INITIAL	DC	OCUMENT NUMBER	DATE		NAME	CLASS	SUBCLASS	FILINC IF APPRO	
							<u> </u>		
								•	
								_	
			FOREIGI	N PATENT	DOCUMENTS				
	DO	OCUMENT NUMBER	DATE	127112111	COUNTRY	CLASS	SUB-	TRANSI	LATION
							CLASS	YES	NO
			,						
	<del></del>								
					· /· · · · · · · · · · · · · · · · · ·				
					hor, Title, Date, Pe	rtinent Pages, E	'c.)		
11.		Ohkuma et al., Bios	ci. Biotech. Bioc	hem., 57:1793	3-1794, (1993)				
Mh	В								
7		Pesole et al., Geneti	cs, 141:903-907,	(1995)					

Cai et al., Internat. J. Sys. Bacteriol., 46:542-549, (1996)

DATE CONSIDERED

Form PTO-1449 (Reproduced)				Docket Number (Optional CL-103		Application Numb	Sheet 5 of 5		
IN	INFORMATION DISCLOSURE CITATION IN AN APPLICATION (Use several sheets if necessary)  U. S. PATEN  AMINER DOCUMENT NUMBER DATE	Applicant		. FALLON ET AL.					
			ecessary)		Filing Date Group Art Unit JULY 21, 1997				
			U. S. PATE	NT DOCUMENTS					
EXAMINER INITIAL	D	OCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE		
						·			
			:						
						_			
			FORFIGN PA	TENT DOCUMENTS					
	D	OCUMENT NUMBER		COUNTRY	CLASS	SUB-	TRANSLATION		
						CLASS	YES NO		
		OTHER D	OCUMENTS (Incl)	ıding Author, Title, Date, Pert	inent Pages F				
Mu Mu			, 167: 157-161 (1995)		ment rages, Di				
11		Ohkuma et al., Bioc	him. Biophys. Acta., 1	1236: 163-169, (1995)					
de	C								
		Cregg et al., Bio/Teo	chnology, 11:905-910	, (1993)	<u> </u>				

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

DATE CONSIDERED







Sheet  $\underline{1}$  of  $\underline{1}$ 

1

Form PTO-1449 (Reproduced)

## INFORMATION DISCLOSURE GENATION

Docket Number (Optional)	
CL-1035	

Application Number 09/116,502

Applicant

IN AN APPLICATION				ROBERT D. FALLON					
	(Use several sheets if n		Filing Date	Group Art Unit					
		TICD	ATENT DO	JULY 16, OCUMENTS	1998	]	1633		
EXAMINER	DOGUL GERTAND GER	1	ALENIDO		GL A SS	T SUPPORTED	FILING	DATE	
INITIAL	DOCUMENT NUMBER	DATE		NAME	CLASS	SUBCLASS		OPRIATE	
Mu	3,843,466	10/1974	Aka	hori et al.	435	142			
				-					
						nc	<u> </u>	/EN	
						FE	3 2 3	1999	
						MATR	X CUS	roMÉR	
						SER	VICE CE	-NIFF	
	.,								
				· · · · · · · · · · · · · · · · · · ·					
	D.C. C.		N PATENT	DOCUMENTS		Louis	7770 43370		
	DOCUMENT NUMBER	DATE		COUNTRY	CLASS	SUB- CLASS	YES	LATION NO	
Me	FR 2 069 291 A	09/03/71	Frai	اره		_	X		
			,						
	OTHER I	OCUMENTS	(Including Au	hor, Title, Date, Pert	inent Pages, Etc	:.)			
Mu	Isamu Shiio et al., M Biological Chemistr				cids from n-all	canes, Agricu	ltural an	nd	
,								_	
			<u> </u>						
EXAMINER	MATM.		DA	ATE CONSIDERED					
EXAMINER: Initia	al if citation considered, whether or no communication to applicant.	ot citation is in conform	ance with MPEP 60	9; Draw line through citatio	n if not in conforma	nce and not consid	ered. Includ	e copy of	

part of #7

Sheet  $\underline{1}$  of  $\underline{1}$ 

	"W 0 2 1832 5
Form PTO-1449	1 100
(Reproduced)	\ <u>.</u> \$/
INFORMATION DISCLOSURE	CITATION TRADER
IN AN APPLICATION	
ar ii. e	

Docket Number (Optional)
CL-1035

Applicant

Application Number 09/116,502

FALLON ET AL.

(Use several sheets if necessary)				Filing Date   Group Art Unit   JULY 16, 1998   1633			
		U. S. P	ATENT DOCUMENTS	- L			
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING IF APPRO	
							í
						:	
					<u> </u>		
			·				
		FOREIG	N PATENT DOCUMENTS		<u> </u>	<u> </u>	
	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSI	LATION
					CLASS	IES	NO
	DE 195 07 546 A	9/12/96	GERMANY				X
	ED 0.007 807 A	12/20/00	ODE AN				37
	EP 0 296 506 A	12/28/88	EUROPEAN				X
	WO 91 06660 A	5/16/91	РСТ				
-V-/W							
					<u> </u>		
<u></u>	OTHER I	OCUMENTS	(Including Author, Title, Date, Perti	nent Pages, Etc	;)		
			NDUCIBLE EXPRESSION SYS				USING
Mr	PROMOTERS OF I	NEWLY-ISOLA	TED GAL1 AND GAL1 GENES	s, YEAST, <b>VO</b>	L. 13 <sup>-</sup> , 21-29	), 1997	
- 10	KARGEL ET AL.,	CANDIDA MA	LTOSA NADPH-CYTOCHROM	E P450 RED	UCTASE, Y	SAST, VC	L. 12,
	333-48, 1996				•	,	·
EXAMINER	1/11/11		DATE CONSIDERED	10			
	10/4/10		1/23/7	/			
EVAMINED: Initi	al if aitation considered, whether		and with MDED 600. Decur line the art alteria	_ :c			

EXAMINER: Initial if citation considered, whet this form with next communication to applicant.